

# Employee Benefit Plans



**An Audit and Tax Firm**



**PMB Helin Donovan**

CONSULTANTS & CERTIFIED PUBLIC ACCOUNTANTS

**877.762.4360**  
**[www.pmbhd.com](http://www.pmbhd.com)**

# Employee Benefit Plan Audit Practice Group

From the time we founded our firm, we have made the audit of employee benefit plans a central part of our practice. We provide limited-scope and full-scope audits and our client list ranges from plans in their first year of audit with small balances to plans with tens of thousands of participants and billions in assets.

We have developed an efficient audit process. This enables clients to maintain a reasonable cost of compliance without compromising audit quality. We focus on training our people and providing experienced staff on every audit engagement.

**401k**

**403b**

**Pensions**

**ESOPs**

**Health & Welfare**

**Unions**

**Prevailing Wage**



# Experienced Employee Benefit Plan Auditors

*Quality engagements delivered by trained professionals*

## BENEFIT PLAN AUDIT BACKGROUND

We are members of the AICPA Employee Benefit Plan Audit Quality Center and are a PCAOB registered firm. This enables us to audit the plans of publicly traded companies that file Form 11-K. While many firms view benefit plan audits as a commodity and training ground for younger staff, we treat plan audits as we treat any financial statement audit and have created a large practice group in this area.

We send experienced benefit plan audit professionals that conduct an efficient audit with minimal staff disruption, and we accomplish this at a reasonable, fixed fee. With our reasonable fees, there is no sacrificing quality and as the plan fiduciary, you will have the peace of mind that your plan is in compliance.

## PLAN AUDIT SERVICES

Over 70% of the Department of Labor inquiries are with plans audited by firms that conduct three or less audits annually. Unfortunately, this results in a large number of companies put at risk for failing to acquire a proper audit of their employee benefit plans. These statistics demonstrate the need to use a plan auditor with experience and knowledge. PMB Helin Donovan is among the top 5% of firms in the country that audit benefit plans.

Our services include:

- Limited scope & full scope audits
- 401k & 403b plans
- Pensions, ESOPs, health & welfare plans
- Unions & prevailing wage plans
- 11K filers
- Delinquent filer voluntary compliance program
- SAS 70 attestations

## OTHER SERVICES

- Financial statement audits & reviews
- Public company 10-K, 10-Q and 11-K filings
- Tax planning & return preparation
- Internal controls testing & internal audit outsourcing
- Mergers & acquisitions due diligence
- Risk advisory services

## WHY PMB HELIN DONOVAN?

We understand the need to control costs. Large firms may have a more difficult time providing a thorough employee benefit plan audit at a reasonable fee due to a higher cost structure. Small firms that audit a few plans cannot always afford the cost and time to acquire the level of training needed to remain current in this specialty audit field. PMB Helin Donovan is a mid-sized, experienced firm that conducts a large number of plan audits, which enables us to invest in the training, and be able to send experienced professionals to your location.

*Employee benefit plan audits conducted by experienced plan auditors!*

**A Regional Firm  
with Global Clients**

Clients on **6** continents

Doing business in **30** countries

**100** plus professionals



**A PCAOB Registered and Inspected Firm**



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